

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATERI AMINTO CARGE DIVISION

2006 MAY -8 PM 4: 30

US PATENT & TRADEMARK OFFICE

in re application of:

Robert H. TILLOTSON

Appln. No. 10/517,662

Confirmation No. 5612

Filed: August 25, 2005

For: LIGHT FITTING

Art Unit: 2875

Examiner: To Be Assigned

Atty. Docket No. 31229-211727

Customer No. 26694 PATENT TRADEMARK OFFICE

REQUEST FOR REFUND

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attention: MAIL STOP REFUNDS

Sir:

This is a request for a refund, in the amount of \$65.00, which should be applied to Deposit Account No. 22-0261.

On December 13, 2004, Applicant filed a new U.S. National Stage Application, authorizing payment of filing and search fees of \$500 as well as the surcharge fee of \$65 for a late declaration, to be charged to our Deposit-Account No. 22-0261. These fees were deducted from our Deposit Account No. 22-0261 on December 21, 2005. A Notification of Missing Requirements was subsequently mailed on May 25, 2005, requiring the submission of a declaration. The declaration was filed on August 25, 2005, along with a \$60 fee for a one-month extension of time. On August

Applicant: Robert H. TILLOTSON

Appln. No. 10/517,662

31, 2005, the Office charged our Deposit Account No. 22-0261 for the extension fee, along with a second surcharge fee of \$65. This second charge of \$65 is erroneous.

Attached with this filing is a copy of our Deposit Account Statement, showing the two deductions of \$65 on December 21, 2004 and on August 31, 2005. It is respectfully requested that \$65 be credited to our Deposit Account No. 22-0261, and acknowledgement of such credit be issued by the PTO.

Respectfully submitted,

Date: May 5, 2006

Michael A. Sartori, Ph.D. Registration No. 41,289

Kavita B. Lepping

Registration No. 54,262

VENABLE LLP

P.O. Box 34385 Washington, D.C. 20043-9998

Telephone: (202) 344-4000

Telefax : (202) 344-8300

MAS:KBL/SJB #743944

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08/30	299	10464555 .	31794-190127 RK	1504	\$300.00	\$74,287.06
08/30	974	78703013	57793-222701	7001	\$325.00	\$73,962.06
08/30	979	78703015	57793-222699	7001	\$325.00	\$73,637.06
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08/31	5	1952809		6201	\$400.00	\$70,552.06
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08/31	13	11212882	31794-222327 RK	1311	\$200.00	\$68,652.06
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	12/21	393	10517842	32128-211698	1632	\$500.00	\$54,036.06
	12/21	394	10517842	32128-211698	1633	\$200.00	\$53,836.06
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	12/22	193	10518006	43289-211640	8021	\$40.00	\$51,671.06
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	12/22	360	10364499	41345-186714	1504	\$300.00	\$50,671.06
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U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/517,662

Robert H TILLOTSON

31229-211727

INTERNATIONAL APPLICATION NO.

PCT/GB03/02537

LA. FILING DATE

PRIORITY DATE

06/12/2003

06/13/2002

CONFIRMATION NO. 5612 371 FORMALITIES LETTER

OC000000016044830

26694 VENABLE LLP P.O. BOX 34385 WASHINGTON, DC 20045-99

Date Mailed: 05/25/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/13/2004
- Copy of the International Search Report filed on 12/13/2004
- Copy of IPE Report filed on 12/13/2004
- Copy of Annexes to the IPER filed on 12/13/2004
- Information Disclosure Statements filed on 12/13/2004
- U.S. Basic National Fees filed on 12/13/2004
- Priority Documents filed on 12/13/2004

DATES DOCKETED

D DKTEDBY

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION. WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	International application no.	ATTY, DOCKBT NO.
10/517,662	PCT/GB03/02537	31229-211727

FORM PCT/DO/EO/905 (371 Formalities Notice)

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08/3	1 5	1952809		6201	\$400.00	\$70,552.06
08/3	1 6	78286737		6402	\$900.00	\$69,652.06
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08/31	147	09809036	37122-167615	2252	\$225.00	\$59,407.06
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08/31	360	10725077	19877.0026	1504	\$300.00	\$56,737.06
Ω8/31		10517662	31229-211727	2617	\$65.00	\$56,672.06
08/31	402	10517662	31229-211727	2251	\$60.00	\$56,612.06

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12/21		75923720	24301-157142	7003	\$100.00	\$53,696.06
12/22		76624209	40623-211889	6001	\$335.00	· ·
12/22		76624210	26224-211602	6001	=	\$53,361.06 \$53,036.06
12/22		76624212	26224-211603	6001	\$335.00 \$335.00	\$53,026.06 \$53,026.06
12/22		6411941	46594-207530	8021	\$335.00 \$40.00	\$52,691.06 \$52,651.06
12/22		2785973	28657-184894	8521	\$40.00 \$40.00	\$52,651.06 \$52,611.06
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12/22 12/22		60637054	50718-211689	2005	\$100.00	\$52,211.06
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12/22		10166055	32307-181671	1504	\$300.00	\$47,271.06
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		78400874		8522	\$25.00	\$47,206.06
		78095907		8522	\$25.00	\$47,181.06
12/23		76624475	44944-211901	6001	\$335.00	\$46,846.06
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12/23		76624478	44944-211899	6001	\$335.00	\$45,841.06
12/23		PAYMENT		9203	-\$43,720.00	•
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12/23		76624549	21188-106718	6001	\$335.00	\$88,276.06
12/23		29219571	29953-192667	1012	\$200.00	\$88,076.06
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Nemo (Print/Type)	Michael A. Sartori, Bh.D.	Reg No. Attorney/Agent)	41,289	Telephone	202-344-4000
Signature	Milattaly	Date	08/25/05	L	L

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Atty. Docket No: 31229-211727	Current Date:	August 25, 2005
Title of Application: LIGHT FITTING		
Application No: 10/517,662 Patent No.:	Filing Date:	December 13, 2004
ratent No. ;	Issue Date:	
The following items were received from Venable LLP, V	Vashington, D.C., by the U	.S. Patent & Trademark Offi
on the date st	amped hereon:	
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New U.S. Provisional Application (pages of specification/claims)	\$65.00	_ Surcharge Fee
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specification, claims, drawings and declaration) U.S. National Stage Application of PCT Application		_ Recordation/Indexing Fee
Request for Continued Examination (RCE) under 37 (CFR 1.114	IDS Fee
Response		-
☐ Substitute Specification☐ Priority Document-Cert. Copy of Appln.#: ; Cou	\$60.00	_ Extension Fee
Date Filed:	iriu y	Notice of Appeal Fee
Formal Drawings (sheets, Figs.) Inventor Declaration		-
		Brief on Appeal
Response to Notice to File Missing Parts		Oral Hearing Request Fee
Response to Notice to File Missing Requirements Response to Restriction Requirement		5.22
Assignment w/Cover Sheet Response to Notice to File Missing Parts Response to Notice to File Missing Requirements Response to Restriction Requirement Information Disclosure Statement with cited reference Response Amendment/ Preliminary Amendment Petition/Request for Extension of Time (1 mo. extension to Revive) Petition to Revive Sequence Listing – CDR Enclosed? Yes No	erences	Petition Fee
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70 5 2006 3 F	FORM		First Named Inve	ntor	Robert	H. TILLOTSON	
to be used for all co	rrespondence after ini	tial filing)	Group Art Unit		2875		
	Examiner Name		To Be A	ssigned			
Total Number of Pages In This Submission			Attorney Docket	Number	31229-2	11727	
		ENCL	OSURES (check all th	at apply)			
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Fee Attached		☐ Drawi	ng(s)			al Communication to Board of als and Interferences	
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Extension of Time	Request	Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):			
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Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004. pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** 10/517,662 TRANSMITTAL August 25, 2005 Filing Date First Named Inventor Robert H. TILLOTSON MAY 0 5 2006 For FY 2006 Examiner Name To Be Assigned Applicant claims small entity status. See 37 CFR 1.27 2875 Art Unit EMBENT TOTAL AMOUNT OF PAYMENT 31229-211727 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Credit Card Other (please Check Money Order X Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) X Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of X Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES** Small Entity **Small Entity** Small Entity Application Type Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 **Provisional** 200 100 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee Description Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 Total Claims Extra Claims Fee (\$) **Multiple Dependent Claims** - 20 = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims Extra Claims Fee (\$) HP = highest number of total claims paid for, if greater than 3.

3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Extra Sheets Number of each additional 50 or fraction thereof Fee Pald (\$) Fee (\$) - 100 **=** (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): SUBMITTED BY Registration No. Signature 41,289 (202) 344-4000 Telephone Name (Print/Type) Michael A. Sartori, Ph.D. Date May 5, 2006 #748973



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

January 26, 2007

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\$65 was previously refunded. See Attachment.

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